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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/734,028	12/11/2003	Blair B. Dillaway	MSFT-2795/305124.1	2338

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WOODCOCK WASHBURN LLP (MICROSOFT CORPORATION)

CIRA CENTRE, 12TH FLOOR

2929 ARCH STREET

PHILADELPHIA, PA 19104-2891

EXAMINER

JOHNSON, CARLTON

ART UNIT

PAPER NUMBER

2136

MAIL DATE

DELIVERY MODE

07/31/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

**Advisory Action
Before the Filing of an Appeal Brief**

Application No. 10/734,028	Applicant(s) DILLAWAY ET AL.
Examiner CARLTON V. JOHNSON	Art Unit 2136

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 09 July 2008 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☒ The period for reply expires 3 months from the mailing date of the final rejection.
b) ☐ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.
Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. ☐ The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. ☒ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
(a) ☒ They raise new issues that would require further consideration and/or search (see NOTE below);
(b) ☐ They raise the issue of new matter (see NOTE below);
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: see Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. ☐ Applicant's reply has overcome the following rejection(s): _____.
6. ☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. ☒ For purposes of appeal, the proposed amendment(s): a) ☒ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.
The status of the claim(s) is (or will be) as follows:
Claim(s) allowed: _____.
Claim(s) objected to: _____.
Claim(s) rejected: _____.
Claim(s) withdrawn from consideration: _____.

AFFIDAVIT OR OTHER EVIDENCE

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
See Continuation Sheet.
12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s). _____.
13. ☐ Other: _____.

/Nasser G Moazzami/
Supervisory Patent Examiner, Art Unit 2136

/Carlton V. Johnson/
Examiner, Art Unit 2136

The amendments will not be entered.

3a) The amendments to independent claims 1 and 19 have raised new issues and require further consideration and/or a further search.

7) The amendments to independent claims 1 and 19 have changed the scope of claims 1 and 19 and the corresponding dependent claims 2 - 18 and 20 - 30.

Responses to previous set of remarks and previous set of claims are provided:

The Yan prior art discloses the additional feature of conditional trust. The Yan prior art discloses the capability to monitor the state of trust between two entities. If the trusted condition changes, appropriate action is taken. Additional features disclosed within a referenced prior art do not remove the fact that the prior art discloses the claim limitation. The Yan prior art discloses the initiation and usage of attestation between two entities in a trusted state. There is no disclosure that the trust solution of the claimed invention does not require a generation of a distrust signal as stated in remarks. The claimed invention makes no mention of a distrust signal. Applicants' remarks state that, "claim 1 is directed towards obtaining a trust-based relationship which does not require a generation of distrust signals for maintaining trust". This is no mention in claim 1 of "distrust signals" or "maintaining trust" without distrust signals in the claimed invention.

The Yan prior art discloses an integrity metric such as a public key, which is used as an identity for the trusted platform. (see Yan paragraph [0055], lines 6-14; trusted platform identity (code ID)) The public key is a value by which the trusted platform is known and is representative of the trusted platform. Other entities have knowledge of the public key. (see specification paragraph [0005] for code ID)

The Yan prior art discloses a symmetric key, (Yan paragraph [0062], lines 1-4; paragraph [0064], lines 1-4; symmetric key (session key or single key used for cryptographic procedures)), and a public/private key pair (Yan paragraph [0055], lines 6-14; paragraph [0059], lines 1-12; public/private key pair and certificates) and accompanying certificates.

The Yan prior art discloses that attestation information can be updated. (Yan paragraph [0054], lines 1-14; update attestation information (integrity metrics))

The Yan prior art discloses attestation initiation. The can-attest and attestation-wanted messages are an attestation initiation sequence protocol. The Yan prior art discloses the initiation of a trust relation between two entities or the initiation of attestation. (Yan paragraph [0058], lines 1-7; paragraph [0060], lines 1-6; paragraph [0060], lines 6-9; initiation of attestation and trust relationship)